



AF/2655-IPR

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Shambaugh et al.

Art Unit: 2655

Serial No.: 09/670,093

Confirmation No.: 3663

Filed: September 26, 2000

Examiner: Brian L. Albertalli

For: **METHOD OF CREATING SCRIPTS
BY TRANSLATING AGENT/
CUSTOMER CONVERSATIONS**

Attorney

Docket No.: 6065-77779

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

01/12/05 *Carol T. Shultz*
Date Registration No.: 27,466
Attorney for Applicant(s)

INFORMATION DISCLOSURE STATEMENT

Mail Stop AMENDMENT
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313

Sir:

Pursuant to 37 C.F.R. §1.97, a list of documents is disclosed on the attached Form PTO-1449 that may be material to the examination of this application. The cited references are enclosed herewith.

Documents for which the supplied date of publication lists the year of publication without the month were published sufficiently earlier than the effective U.S. filing date and any foreign priority date, so that the particular month of publication is not in issue. Pursuant to §609 of the MPEP, it is understood that the month of publication is not required when the particular month of publication is not in issue.

No inferences should be drawn that the attached list represents a comprehensive investigation, or that any material disclosed is equivalent to the subject invention. In addition, none of the documents that have publication dates prior to the priority date of the above application anticipate the invention in this application.

Applicant also encloses a copy of the PCT Search Report and European patent application.

In accordance with 37 C.F.R. § 1.97(e)(1), Applicants hereby certify that each item of the information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the present statement, as evidenced by the enclosed search report dated 27 October 2004 for European application no. EP 01 11 8833.

The cited document(s) disclose numerous specific features. There has been no attempt to list each and every feature disclosed by each document. The Examiner is requested to review the document(s) and determine the extent of the materiality of the document disclosures with respect to the present invention.

The discussion of any art and the citation of any document(s) herein is not to be construed as an admission that the art or document disclosure is necessarily within the invention field of endeavor, that the art or document disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that the art or document disclosure is otherwise necessarily prior art as defined by the patent law with respect to the instant invention and application.

Also, there is reserved the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosures of the art and document(s) recited herein, that may be cited by the Examiner in rejecting a claim in the instant patent application. The recitation herein of the art and document(s) is not to be construed as an assertion that more pertinent art could not possibly be in existence.

Respectfully submitted,

WELSH & KATZ, LTD.

By



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Dated: January 12, 2005

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<p>Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information which contains an element of choice or which is not required.</p> <p>10/24/2001 W&K Substitute for Form PTO-SB/08A, which is a US PTO Substitute for form 1449A/PTO and 1449B/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p> <p>Sheet 1 of 1</p>				Complete if Known	
		Application Number	09/670,093		
		Filing Date	September 26, 2000		
		First Named Inventor	Shambaugh et al.		
		Art Unit	2655		
		Examiner Name	Brian L. Albertalli		
		Attorney Docket Number	6065-77779		

OTHER ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
		<i>Tracking Conversational Context for Machine Mediation of Human Discourse</i> ; Tony Jebara, Yuri Ivanov, Ali Rahimi and Alex Pentland, American Association for Artificial Intelligence; 3 page document.	
		<i>Spoken language systems - beyond prompt and response</i> ; P J Wyard, A D Simons, S Appleby, E Kaneen, S H Williams and K R. Preston; BT Technol J. Vol. 14 No. 1 January 1996, pp. 187-205.	

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST .3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST .16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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